

# RAYMOND JAMES®

Brochure Supplement (Form ADV Part 2B) for:

**Kevin Mahoney**

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West Nyack, NY 10994  
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**This brochure supplement, Form ADV Part 2B, provides information about the above named investment adviser representative (hereinafter "your representative") that supplements the Raymond James & Associates, Inc. brochure, Form ADV Part 2A. Please contact your representative if you did not receive a copy of the Raymond James & Associates, Inc. Form ADV Part 2A or if you have questions on the contents of this brochure supplement. Additional information about your representative is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

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Name of Representative: Kevin Mahoney  
Year of Birth: 1964

### **Education and Training**

Fordham University, Bachelor of Arts, History, 05/2002

Series 7 - General Securities Representative Examination

Series 63 - Uniform Securities Agent State Law Examination

Series 65 - Uniform Investment Adviser Law Examination

Certified Investment Management Analyst® (CIMA®)

The Certified Investment Management Analyst® (CIMA®) certification program is the only credential designed specifically for financial professionals who want to attain a level of competency as an advanced investment consultant. The CIMA® professional integrates a complex body of investment knowledge to provide objective investment advice and guidance to individuals and institutions. That knowledge is applied systematically and ethically to assist clients in making prudent investment decisions. The CIMA® certification program requires that candidates meet all eligibility requirements, including experience, education, examination, and ethics. The designation is administered through Investment Management Consultants Association (IMCA).

Accredited Investment Fiduciary (AIF)

Individuals who hold the AIF designation have completed a course of study encompassing an understanding of the basis for fiduciary standards and are able to identify when an individual or organization may be deemed to have fiduciary status and the application to the investment management process. To receive the AIF designation, individuals must complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the AIF Code of Ethics. In order to maintain the AIF designation, the individual must annually renew their affirmation of the AIF Code of Ethics and complete six hours of continuing education credits. The certification is administered by the Center for Fiduciary Studies, LLC (a Fiduciary360 (fi360) company).

### **Business Experience (Minimum Last 5 Years)**

Investment Adviser Representative, Raymond James & Associates Inc, 11/2015 - Present

Financial Advisor, Raymond James & Associates, 11/2015 - Present

Financial Advisor, Merrill Lynch, Pierce, Fenner & Smith Inc, 10/1991 - 11/2015

## DISCIPLINARY AND OTHER INFORMATION

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No information is applicable to this item.

## OTHER BUSINESS ACTIVITIES

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Raymond James & Associates, Inc. (RJA) is dually registered as a Financial Industry Regulatory Authority (FINRA) member firm and as a Registered Investment Adviser with the Securities & Exchange Commission (SEC). RJA offers diversified financial services in securities brokerage, investment banking, investment advisory, and insurance services. Additionally, banking services are provided by Raymond James Bank, FSB.

The outside business activities listed below, if any, are performed by this person in his/her individual capacity and not in the capacity as a representative of RJA or any of its affiliates.

LaSalle Street Business Solutions - General Partner

Rental Real Estate - Partner

## **ADDITIONAL COMPENSATION**

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In addition to the fee based compensation your representative earns for providing advisory services, your representative may also earn commissions for transactional business on assets not being assessed an advisory fee in accordance with Raymond James & Associates, Inc.'s commission schedule. At the conclusion of each year, representatives may qualify for membership in one of Raymond James & Associates, Inc.'s recognition clubs. Qualification is achieved through a combination of annual gross revenues, total client assets under administration, and by completing certain professional designations, certifications, and other industry related educational programs.

## **SUPERVISION**

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Raymond James & Associates, Inc. has established and maintains policies and procedures reasonably designed to comply with the Investment Advisers Act of 1940, including direct supervision of its investment advisory activities with clients. The name and contact information for the Supervisory Principal responsible for monitoring your representative is listed below.

Jeffrey Zelin  
Supervisory Principal  
914-772-0096